

SOME USEFUL TEMPLATES FOR COUNTRY AUDIT

S\NO	ISSUE ADDRESSED	EXISTENCE	SIGNATURE	RATIFICATION	DOMESTICATION
1.	National Action Plan Against Corruption	NO	-	-	-
2.	UNCAC		YES	YES	NO
3.	AU CONVENTION	-	YES	NO	NO

AUDIT OF COMPLIANCE WITH MANDATORY PROVISIONS

Is\no	Issue Addressed	Mandatory Provision UNCAC	Article	Mandatory Provision AU Convention	Article	Existence of Legal Framework	Existence of policy framework
1.	Transparency in the financing of election campaigns and political parties	NO	Art 7[3]	Yes	Art 10	Yes	
2	Fairness of election process	YES		NO Provisions	-	Yes	
4	Conflict of Interest\Asset declaration	NO	Art 7[4] Art 8[4]	YES		YES	YES
4.	Political Corruption	NO	Art 7[3]	YES	Art 10	NO	NO
5.	Trading in Influence	NO		YES	Art 4[1][f]	NO	NO
6.	Public Procurement	NO		YES	Art 5[4] and 7[4]	NO	YES
7.	Whistle-Blower Protection	NO	Art 8[4] and Art 32	YES	5[5]	NO	NO

EXISTENCE OF LAWS AND STRUCTURES

S\NO	KEY ISSUE	Legal provision	Operative Institution	Policy Initiative
1.	Dedicated anti-corruption institution	*	*	*
2.	Code of conduct for public officers	*	*	
3.	Asset Declaration for public officers	*	*	
4.	Public Procurement regimen	-	*	*
5.	Money Laundering	*	*	

OPERATIONAL ISSUES

S\NO	Institution	Funding	Financial Autonomy	Legal Independence	Security of Tenure of Key Staff
1.	Anti-Corruption Commission	Inadequate	None [Funded by the Executive]	Yes	Yes
2.	Public Procurement Office [BMPIU]	Inadequate	None	None- [Enabling Law is yet to be passed	No
3.	Code of Conduct Bureau	Inadequate	Yes Appropriation direct from parliament	Yes	Yes
4.	Economic and Financial Crimes Commission	Inadequate [Heavily dependent on donor funding]	No	No	No
5.	Public Complaints Commission	Inadequate	Yes [Appropriation direct from parliament]	Yes	Yes

Mandate of institutions

S\NO	Institutions	Investigative Powers	Prosecutorial powers	Scope of activities	Others
1.	Independent Corrupt Practices and Other Related Offences Commission	Yes	Yes	Investigation Prevention Systems Review Public Enlightenment	Dedicated anti-corruption agency
2.	Code of Conduct Bureau	Yes	Yes	Asset declaration Code of Conduct for Public officers Enforce provisions on conflict of interest and gifts	
3.	Economic and Financial Crimes Commission	Yes	Yes	Money laundering Advance Fee Fraud Designated FIU Terrorism International cooperation Mutual Legal Assistance	
4.	Public Complaints Commission	Yes	No	Ombudsman Administrative malpractice	Restricted to advisory power